



Legent Clearing has joined with Fixed Income Securities, LP (FIS)

Customized Income and Portfolio Solutions for Financial Professionals

Fixed Income Securities LP (FIS), a broker/dealer, member NASD, SIPC and SEC registered investment advisor, provides a comprehensive investment resource designed to provide financial professionals with efficient access to financial markets. FIS specializes in providing innovative products, portfolio analysis and customized investment strategies.

FIS can provide you with the value-added tools that can help differentiate your financial services business while providing your clients with a higher level of service and sophisticated advice.

COMPETITIVE OFFERINGS:

As an institutional broker/dealer, FIS provides advisors with a wide range of competitively priced offerings that can be readily accessed through our propriety website. FIS' website gives you direct access to more than 20,000 daily offerings from some of the countries largest dealers, as well as FIS' internal inventory.

- Certificates of Deposits
- Closed-End Funds
- Convertible Bonds
- Corporate Bonds
- Core Notes
- Exchange-Traded Funds
- Government Agency
- Mortgage-Backed Securities
- Municipal Bonds
- Preferred Stocks
- Structured Products
- US Treasury
- Taxable and Tax Exempt Cash Markets
- Unit Investment Trusts
- Zero-Coupon Bonds
- Exclusive, Brand Name Offerings

Legent has joined with FIS to provide competitive, cutting edge offerings.* FIS has established strategic alliances with some of Wall Street's most respected firms.

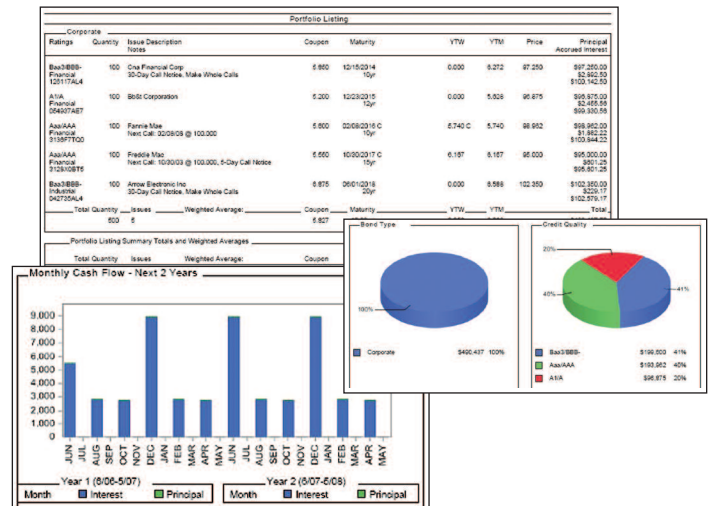
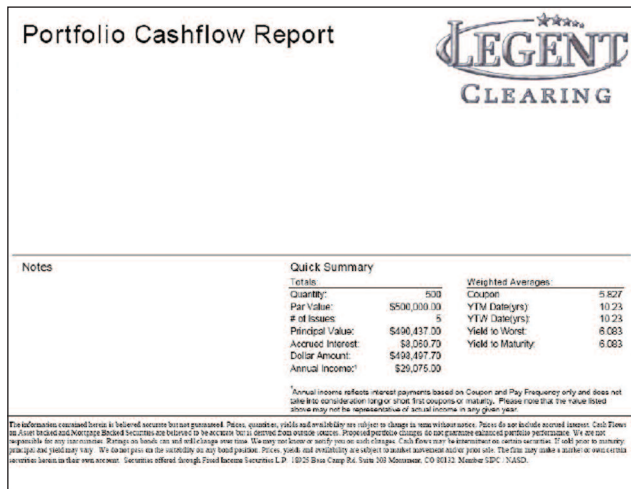
The screenshot shows the Legent Clearing website interface. At the top, there is a navigation menu with links for HOME, OFFERINGS, MARKET NEWS, and EDUCATION. Below the menu is a search bar and a "Quick Search" section. The main content area displays a table of bond offerings, categorized into Municipal, Corporate, and Treasury. The table includes columns for Min Rating, State, and various maturity periods.

Municipal		Corporate		Treasury	
Min Rating	Baa/BBB	Min Rating	Baa/BBB	<u>All Treasuries</u>	
State		<u>0 - 2 Years</u>	<u>8 - 12 Years</u>	<u>Active Treasuries</u>	
<u>0 - 2 Years</u>	<u>8 - 12 Years</u>	<u>2 - 4 Years</u>	<u>10 - 15 Years</u>	<u>Treasury Bills</u>	<u>STRIPS</u>
<u>2 - 4 Years</u>	<u>10 - 15 Years</u>	<u>4 - 7 Years</u>	<u>15 - 20 Years</u>	<u>0 - 2 Years</u>	<u>7-15 Years</u>
<u>4 - 7 Years</u>	<u>15 - 20 Years</u>	<u>6 - 9 Years</u>	<u>20+ Years</u>	<u>2 - 4 Years</u>	<u>15 - 25 Years</u>
<u>6 - 9 Years</u>	<u>20+ Years</u>	<u>Agencies</u>		<u>4 - 7 Years</u>	<u>25 - 30 Years</u>

PORTFOLIO CONSTRUCTION AND ANALYSIS:

FIS has a fully staffed team of expert analysts that can provide you with superior portfolio support.

- Portfolio construction and reviews
- Index modeling, duration analysis, market analysis, credit quality analysis, and swaps
- Private-labeled client reports
- Premier research and economic analysis
- Proprietary research and timely market commentary
- Privately Management Money (PMM) advisory and sub-advisory services offered by FIS' Advisor's Asset Management division



EXPERIENCED FIXED INCOME TRADING DESK:

An experienced team of taxable and municipal traders work closely with you through your FIS service team to bring you competitive offerings, providing you with simple and efficient trading support including assistance with bids and offers.

COMPREHENSIVE SALES AND MARKETING SUPPORT:

Dedicated FIS Service Team and Regional Relationship Managers are at your disposal to assist with ongoing education and sales support. Sales literature, timely articles, client presentations, and much more are readily available and can be tailored to your individual sales needs.

ONGOING EDUCATION:

Training and education is the new battleground for advisors that want to differentiate themselves. How does FIS meet this need?

- Individual sales support via your highly trained FIS service team
- Educational marketing support including, online training modules, brochures, articles, etc.
- Interactive website customized for Legent correspondents: <http://legentclearing.fisbonds.com>
- FIS University - intense training course for licensed professionals that want to stay at the forefront of the financial industry

*Legent Clearing receives compensation for business directed to Fixed Income Securities from our correspondents.



For more information please contact us:

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