Market-Leading Technology

Legent Clearing has partnered with key technology innovators like Thomson Financial and Sungard to deliver state-of-the-art systems that offer your clients and your salesforce greater accessibility to critical decision-making information and faster, more productive trading and account management tools. Through strategic alliances with a number of data providers, we offer a variety of server- and web-based workstations rich in features that include:

- Comprehensive content and intuitive tools, delivered in an integrated, customizable view.
- Ability to support office automation software.
- Browser-based capabilities — offering trade functionality, real-time data, and direct connection to the back office.
- Windows®-based system that puts client and security information, order entry, firm inventory offerings, market information, report generation and much more at your fingertips.
- Client-access system providing online trading and account viewing with private label capability.

Brand Name Investment Products & Services

We offer best of breed products and services from highly-regarded providers of investment resources as well as education and training content to ensure your clients and salesforce are well-informed about the latest strategies, rules and regulations.

We also support a variety of products, including:

- Cash Management Services (checking, bill-pay, debit cards)
- Money Market Funds and FDIC Insured Deposits
- Fixed Income Securities
- Retirement Plans Services
- Mutual Funds and UITs
- Managed Account Services
- Fee-Based Brokerage
- Fee-Billing and Performance Reporting
- Independent Research
- "E" Documents
- Customer Account Access/Websites

Communication and Marketing Resources

We provide a continuous stream of educational and training materials in the form of brochures, newsletters, bulletins and alerts and through regular postings on our Correspondent Connection website. Your salesforce also has the opportunity to participate in our vendor-sponsored webinars focusing on new products and services and ideas to generate new business.

Unparalleled Service

Just as we customize our services to your firm, we also create a conversion plan based on your current systems, equipment, personnel and time constraints. To round out the process, we provide hands-on training that will have your staff not only comfortable with the new system, but more productive than ever.

From day one, you'll have a Relationship Manager working proactively with your management team to ensure that your objectives are being achieved. We also provide:

- Conscientious and responsive Client Services team.
- Direct access to all of Legent's operations managers.
- Ongoing training support and on-site guidance.

Custom-Fit Benefits

Dependable operations. Enhanced value to your customers. A stronger bottom line. Legent Clearing stands out from other firms through our exceptional technology, flexibility and adaptability to custom-fit clearing services to your firm's specific needs.

Call us today or visit our website to complete a confidential profile.

Comprehensive Clearing Services:

- *Expert trade execution, clearing, settlement, operations outsourcing and business enhancement solutions*
- *Reliable operational processes for institutional and retail business*
- *Expansive data downloads and customized reports library*
- *Portfolio management services and asset allocation tools*
- *Advanced technology and workstation solutions*
- *Full suite of investment vehicles*
- *Personalized customer service and dedicated conversion support*
- *Competitive Pricing*

Why Consider Legent Clearing?

Our approach is to regard each correspondent's relationship as one-of-a-kind and to view our business with your firm as a partnership. We support your business by providing you and your customers with dependable, comprehensive and personalized execution and clearance services — with an emphasis on efficiency, accuracy and speed. As an independent clearing firm with no broker-dealer affiliation, we partner with our correspondents rather than compete against them.

We know how hard you work to differentiate your firm from competitors and to carve out a successful niche. Likewise, we distinguish ourselves from other clearing firms by providing the most flexible, customized solutions possible. In fact, a critical stage of our pre-conversion process is to conduct a thorough analysis of your business by engaging in discussions and meetings with your key operations, administrative and sales professionals. The result:

- Technology, operations and services tailored to your specific business requirements
- Enhanced marketing and sales success to your salesforce and your clients
- Improved productivity and profits to your overall business

LEGENT CLEARING

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Custom-Fit Clearing Solutions