



# InvestmentView

## Develop, Present And Support Your Investment Recommendations

While trends in investing may change, the need to find, recommend and present investment options to your clients remains fundamental. We've developed the solutions to convey complex financial recommendations in a clear, concise way that your retail clients can understand and act on.

### Institutional Sales Ideas

- Create and expose preconfigured Hypothetical Illustrations for advisor use
- Tie our data to your firm's marketing and sales campaigns

### Custom Databases

- Define and save firmfocused lists of securities for use in recommendations
- Create custom wholesaling CDs featuring only your firm focused lists

### Configurable Reports And Presentations

- Create compliance-ready outputs
- Brand sales presentations with your logos and disclosures

Thomson Reuters tools and Lipper's industryleading rating and award system give you unprecedented flexibility in how you make recommendations to your clients.



Sample profile report

All content provided by Thomson Reuters

### Deep Coverage, Complete Presentation

InvestmentView offers in-depth coverage coupled with multiple reporting and presentation options so you can create all-inclusive client presentations.

#### Coverage

Open-End and Closed-End Mutual Funds  
 Exchange Traded Notes  
 Exchange Traded Funds  
 Variable Annuities and Sub-Accounts  
 Variable Life  
 Indices

#### Reporting And Presentation Tools

In depth screeners  
 Profile Reports  
 Hypothetical Illustrations  
 Exposure  
 Rolling-Periods  
 Hi/Lo  
 Price & Distribution

### Lipper Leaders And Fund Awards

As a fully integrated part of the InvestmentView product suite, Lipper's ratings system enables you to choose and illustrate the benefits of an investment recommendation more clearly. Lipper Fund Awards information let's your clients know when your recommendation includes an award winning security.

#### Lipper Leaders

The Lipper Leader Rating System is a toolkit that uses investor-centered criteria to deliver a simple, clear description of a fund's success in meeting certain goals, such as preserving capital or building wealth through consistent, strong returns. Lipper Leader ratings can effectively identify funds that meet the particular characteristics sought by the investor.

#### Lipper Fund Awards

The Lipper Fund Awards program highlights funds that have excelled in delivering consistently strong riskadjusted performance relative to peers. Lipper designates award-winning funds in most individual classifications for the three, five, and ten-year periods. In addition, the Lipper Fund Awards program spotlights

fund families with high average scores for all funds within a particular asset class.

### Investmentview Profiles Module

Creating suitable, diversified investment strategies that meet the needs of your clients is key. InvestmentView's Profile Module offers the tools to examine and illustrate portfolio risk. Then find and illustrate securities that fit your clients' needs to better formulate investment recommendations.

#### Security Screeners

- Search complete databases using over 200 search criteria.
- Save security list and/or search criteria, export critical data.

#### Fact Sheets and Profile Reports

- Highlight topics to discuss with your client including composition, sector and geographic break-down, past performance, performance against peers, and detailed fee information.
- FINRA-compliant reports with configurable cover page, firm branding and disclosures, and color coded securities by type.

### Exposure Summary

- 1** Holdings in Top Stock: 10.0%  
Thomson Reuters Corp.
- 2** Holdings in Top Region: 65.8%  
North America
- 3** Holdings in Top Sector: 20.0%  
Consumer Cyclical
- 5** Top Rank of Lipper Leaders: 36.9% of Holdings (Total Return)
- 1** Bottom Rank of Lipper Leaders: 0.0% of Holdings (Total Return)

Sample Exposure Report

### Exposure Reports

- Expose underlying holdings of a portfolio including open-end, closed-end and exchange-traded funds, and directly held equities.
- Combine composition, top-holdings, sector and geographic break-downs across underlying securities to easily identify risk.

### Investmentview Presentations Module

The ability to communicate complex ideas effectively is essential. The presentations module enables you to generate clear, persuasive presentations that support your recommended investment strategies. Report outputs in PDF format make it easy for storing electronically, printing or emailing. A full set of robust financial calculators provides intelligent ready answers to complex financial questions.

### Hypothetical Illustrations

Support recommendations into or out of investments by illustrating how a single security, a portfolio or a comparison between two securities would have

performed over a historic time period. Include investment strategies, standard or custom fee structures, and taxes. Choose from several report generation options to maximize the impact of your proposals.

### Other Reports

- Rolling Periods:** Focus on the total return performance over different specified periods for a single security, portfolio or comparison of securities.
- Hi/Low:** Show the beginning, ending, high and low prices of a single security with their corresponding dates for a specified period on a monthly, quarterly, semiannual or annual basis.
- Price & NAV Distribution:** Details a security's total return performance, including all prices, income and capital gains distributions, over a specified period on a daily, monthly or annual basis.

### Calculators

Calculators include: Required Minimum Distribution, 72T, Future/Present Value, Tax Deferred Accumulation, Tax Free Equivalent Yield, Financial Goal, Education & Retirement.

### Diversity Of Delivery

Our solution suites can be delivered through the Thomson ONE desktop, web platforms or CD-ROM. The Thomson ONE Wealth Management solution provides a complete workflow solution for the financial professional. Get a complete picture of your book plus the ability to execute on asset allocation and undertake financial planning, client review, and investment selection. The web offers personalized and timely advice through individual component and API solutions that can enhance your client portal or Intranet. Our CD-ROM offers full access while working offline. Our solutions help you improve your productivity, provide consistent advice and grow profitable relationships with clients.

### Investmentview Fundamentals Module

With mutual fund investments at an all time high, how will your firm's funds stand out amongst the pack? Significantly increase investments in your products and traffic to your site by getting the tools and generating the ideas to exemplify your firm's value. InvestmentView Fundamentals Module allows you to integrate professional illustration tools into your advisor-facing website. Available through flexible web modules or an API, these tools connect your firm's marketing message with the technology to communicate the value behind your products

### For more information please contact:

Legent Clearing at 1-877-877-0217 or email [sales@legentclearing.com](mailto:sales@legentclearing.com)

Period End	Balance	Changes	Income	Capital Gains	Withdrawals	Market Value	Percent Change	Shares	NAV
10/15/2001	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00	\$0.00
12/15/2001	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-14.21%	0.00	\$0.00
02/15/2002	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	-18.91%	0.00	\$0.00
04/15/2002	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	10.91%	0.00	\$0.00
06/15/2002	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	17.79%	0.00	\$0.00
08/15/2002	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	14.90%	0.00	\$0.00
10/15/2002	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	10.91%	0.00	\$0.00
02/15/2003	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	10.91%	0.00	\$0.00
04/15/2003	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	\$0.00	0.00%	0.00	\$0.00
<b>Total</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>\$0.00</b>	<b>0.00%</b>	<b>0.00</b>	<b>\$0.00</b>

Hypothetical Illustration

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